

ANNUAL RETURN FOR NBFCs WITH ASSET SIZE BETWEEN RS.100 CR. To 500cr

Return for the financial year (e.g. Mar-2014)

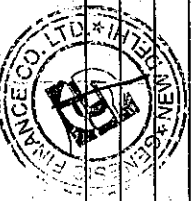
Mar-2016

Company/Profile	Value	Field Code	Validations
1. Name of the Company	GENESIS FINANCE COMPANY LIMITED	IC001	
2. Company Code	DEI.02225	IC002	
3. Permanent Account Number	AAACG0132E	IC003	
4. Registered Office address	4, MMTC/STC Market, Geetanjali, New Delhi - 110017	IC004	
5. Corporate Office address	N/A	IC005	
6. Status of the company (Public Ltd: Private Ltd: Foreign Company: Joint Venture):	Public Ltd	IC006	
8. Nature of business (Type AFC for Asset Finance Company; Type LC for Loan Company; Type IC for Investment Company; Type IFC for Infrastructure Finance Company; CIC for Core Investment Company, Type IDF for Infra Debt Fund NBFC, MFI for Micro Finance NBFCs, Factor for Factoring NBFCs)	AFC	IC007	
9. Whether the Company has received any FDI (Yes/No)	No	IC008	
If yes, FDI as a Percentage to Total Shareholding of the company	-	IC008	
11. Number of Branches / Offices	NIL Only One Office	IC010	
12. If a subsidiary company, please indicate the name and address of the holding company	N/A	IC011	
13. If the company is having subsidiaries / associate/group companies, number thereof. (Please indicate the names, activity, regulated by etc.)	N/A	IC012	
14. Name(s) of the company's Bankers with addresses and phone numbers.	Syndicate Bank, Nehru Place Branch, New Delhi - 110019 011	IC013	
17. Name(s) of the company's auditor with addresses and phone numbers. 14	P K Soni & Co. 14/14 Lower Ground Floor, Kalkaji, New Delhi -	IC014	
15. Whether listed (Yes/No)	Yes	IC015	
If yes, with which Stock exchange?	Delhi Stock Exchange	IC016	
16. Whether the member of CIC (Yes/no)	Yes	IC017	



PART - 1 SOURCES OF FUNDS

Description	Amount (Rs. In Lakhs)	Item Code	Validations
1. Share Capital			
Paid-up equity capital	481.75	111	>=0, 111A+112+112A
(i) Ordinary Shares	481.75	111A	>=0
(ii) Preference (Convertible & Non-convertible) shares	0.00	112	>=0
(iii) Cumulative Convertible Preference Shares	0.00	112A	>=0
2. Reserves and Surplus			
(i) Capital Reserve	0.00	113	
(ii) Debenture Redemption Reserve	0.00	114A	
(iii) Share Premium	3086.75	115	>=0
(iv) General Reserves	0.00	116	>=0
(v) Statutory/Special Reserve (Section 45-1C reserve to be shown separately below item no.(vi))	0.00	117	>=0
(vi) Reserves under Sec 45-1C of RBI Act 1934	186.50	117A	>=0
(vii) Other reserves	0.00	118	>=0
(viii) Revaluation Reserves	0.00	117B	
(ix) Balance of profit and loss account	838.67	119	><=0
Total Reserves & Surplus	4111.92	110	113+114+114A+115+116+117+117A+117B+118+119
3. Secured Borrowings			
(i) Debenture	0.00	121	>=0
(ii) Deferred credits	0.00	122	>=0
(iii) Borrowings from Banks	4520.02	123	>=0
(iv) Borrowings from FIs	0.00	124	>=0
(ix) Other Borrowings	8.36	125	>=0
(x) Interest accrued but not due on the above	0.00	126	>=0
Total Secured Borrowings	4528.38	120	>=0, 121+122+123+124+125+126
4. Unsecured Borrowings			
(i) Borrowings from relatives of promoters / directors	986.35	131	>=0
(ii) Inter-corporate borrowings	0.00	132	>=0
(iii) Borrowings from Banks	0.00	133	>=0
(iv) Borrowings from FIs	0.00	134	>=0
(v) Commercial paper	0.00	135	>=0
(vi) Debentures not in the nature of public deposit	0.00	136	>=0



(vii) Money received by way of caution money, margin money from the borrowers, lessee, hires or by way of security or advance from agents in the course of company's business or advance received against orders for supply of goods or properties or for rendering services	0.00	136E	>=0
(viii) Subordinated debts	0.00	136F	>=0
(xiii) Borrowings from Holding Companies	0.00	136K	>=0
(xiv) Other Borrowings	0.00	137	>=0
(xv) Interest accrued but not due on the above	0.00	138	>=0
Total Un-Secured Borrowings		130	>=0,
	986.35		131+132+133+134+135+136+136E+136F+136G
			+136H+136I+136J+136K+137+138
5. Current liabilities			
(i) Current Liabilities	50.96	141	>=0
(ii) Sundry Creditors	0.00	141A	>=0
(iii) Liabilities to Subsidiaries & Holding Companies	0.00	141B	>=0
(iv) Deferred Tax Liabilities (Net)	0.00	141C	>=0
(v) Others	0.00	142	>=0
Total Current Liabilities	50.96	140	>=0, 141+141A+141B+141C+142
6. Provisions			
(i) For taxation	0.00	151	>=0
(ii) For contingencies	0.00	152	>=0
(iii) For pension, gratuity and similar staff benefit schemes	40.56	153	>=0
(iv) Loan loss provisions	0.00	154	>=0
(v) Others	0.00	155	>=0
(vi) Proposed dividends	0.00	156	>=0
(vii) Provision for Diminution on Investments	0.00	156A	>=0
(viii) Provision for Standard Assets	25.63	156B	>=0
Total Provisions	66.19	157	151+152+153+154+155+156+156A+156B
TOTAL	10225.55	150	111+110+120+130+140+157; And = 200
Owned Fund	4574.39	111B	111+110-(252C+252E)
(x) Investment in shares of :			
(a) Subsidiaries	0.00	A141	>=0
(b) Companies in the same Group	0.00	A142	>=0
(c) Wholly Owned Subsidiaries	0.00	A142A	>=0
(d) Other non-banking financial companies	0.00	A143	>=0



(xi) The book value of debentures, bonds, outstanding loans and advances, bills purchased and discounted (including hire-purchase and lease finance) made to, and deposits with			
(a) Subsidiaries	0.00	A144	><=0
(b) Companies in the same Group	0.00	A145	><=0
(c) Wholly Owned Shares / Joint Ventures abroad	0.00	A145A	><=0
Total	0.00	A140	A141+A142+A142A+A143+A144+A145+A145A+IF(111B<0,A140,(Max((A140-0.1 x 111B), 0)))
(xiii) Amount of Item A140 in excess of 10% of item 111B above	0.00	A150	
Net Owned Fund	4574.39	111C	IF(111B<0,111B-A140,(111B-A150)),><=0
Leverage Ratio	1.22	111D	(120+130+140)/111B



PART - 2. APPLICATION OF FUNDS

Description	Amount (Rs. In Lakhs)	Item Code	Validations
1. Loans & Advances			
(i) Secured	6354.48	201	>=0
(ii) Unsecured	2188.67	202	>=0
Total	8543.15	210A	>=0, 201+202
<i>Of Total Loans & Advances</i>			
(a) Inter-Corporate Deposits	0.00	203	>=0
(b) Bills Purchased & Discounted	0.00	204	>=0
(c) Loans to Corporates	4488.24	205	>=0
(d) Others	4054.91	206	>=0
Total Loans & Advances	8543.15	210	>=0, =203+204+205+206 AND =210A
2. Hire Purchase and Lease Assets	0.00	211	>=0
3. Investments (As per AS-13)	954.57	221	>=0, 230+240
4. Long-term Investments:			
(i) Government securities and government guaranteed bonds	0.00	231	>=0
(ii) Equity shares	0.00	232	>=0
(iii) Preference shares	0.00	233	>=0
(iv) Debentures and bonds	0.00	234	>=0
(v) Units of mutual funds	0.00	235	>=0
(vi) Others	954.57	236	>=0
Total Long Term Investments	954.57	230	>=0, 231+232+233+234+235+236
5. Current Investments:			
(i) Government securities and government guaranteed bonds including treasury bills	0.00	241	>=0
(ii) Equity shares	0.00	242	>=0
(iii) Preference shares	0.00	243	>=0
(iv) Debentures and bonds	0.00	244	>=0
(v) Units of mutual funds	0.00	245	>=0
(vi) Commercial Paper	0.00	245A	>=0
(vi) Others	0.00	246	>=0



Total Current Investments			240	≥ 0 ,
6. Cash and Bank Balances		0.00		241+242+243+244+245+245A+246
Of which; (i) Cash in Hand		1.97	251	≥ 0 , 251A+251B
(ii) Deposits with Banks		0.00	251B	≥ 0
7. Other current assets				
(i) Advance Taxes paid / Tax deducted at source		25.86	252A	≥ 0
(ii) Interest Accrued On Investments		0.00	252B	≥ 0
(iii) Deferred Tax Assets(Net)		19.28	252C	≥ 0
(iv) Interest Accrued on Loans & Advances		31.20	252D	≥ 0
(v) Prepaid Expenses		0.00	252E	≥ 0
(vi) Security Deposits		0.00	252F	≥ 0
(vii) Sundry/Trade Debtors		0.00	252G	≥ 0
(viii) Advances to Staff		0.00	252H	≥ 0
(ix) Stock in Trade		0.00	252I	≥ 0
(x) Share Application Money		0.00	252J	≥ 0
(xi) Others		603.33	252K	≥ 0
Total Other Current Assets			252	≥ 0 ,
		679.67		252A+252B+252C+252D+252E+252F+252G+252H+252I+252J+252K
8. Premises & Fixed Assets				
(i) Fixed assets		46.19	253	≥ 0
(ii) Assets Acquired in Satisfaction of Claims		0.00	253A	≥ 0
9. Miscellaneous expenditure (to the extent not written off or adjusted)		0.00	254	≥ 0
10. Profit and Loss Account (Accumulated Loss)		0.00	255	$\geq < 0$
Total		10225.55	200	210+211+221+251+252+253+253A+254+255; And = 150
Contingent Liabilities		0.00	256	$\geq < 0$
11. Gross NPA (Rs lakhs)		0.00	IC018	$\geq < 0$
12. Net NPA (Rs lakhs)		0.00	IC019	$\geq < 0$
13. Total Derivatives (Exposure in Rs lakhs)		0.00	IC020	IC0201+IC0202+IC0203+IC0204+IC0205+IC0206+IC0207

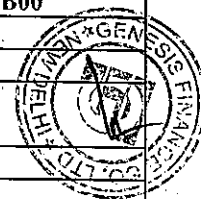


(I) Forward Forex Contracts	0.00	IC0201	>=0
(II) Futures			
Currency futures	0.00	IC0202	>=0
Interest rate futures	0.00	IC0203	>=0
(III) Options			
Currency options purchased/sold	0.00	IC0204	>=0
Interest rate options	0.00	IC0205	>=0
(IV) Forward rate agreements	0.00	IC0206	>=0
(V) Swaps			



PART - 3. PROFIT AND LOSS ACCOUNT

ITEMS OF INCOME	Cumulative till end of the Month (April to March)		
	Amount (Rs. In Lakhs)	Item Code	Validations
A. Income from Financial Business			
1. Fund-Based Income			
(i) Gross Lease Income	0.00	C31A11	>=0
Add/Less: Lease Equalisation	0.00	C31A111	>=0;
Net Lease Income	0.00	C31A112	C31A11 + C31A111
(ii) Hire Purchase Income (including compensation charges)	0.00	C31A12	>=0
(iii) Bills Discounting Income	0.00	C31A13	>=0
(iv) Interest Income	1171.22	C31A14	>=0,
(a) Interest on Inter-corporate Deposits	0.00	C31A14a	>=0
(b) Interest on Other Loans	1171.22	C31A14b	>=0
(v) Investment Income	0.00	C31A15	>=0,
(a) Interest	0.00	C31A15a	>=0
(b) Dividends	0.00	C31A15b	>=0
(vi) Profit on Sale of Investments	0.00	C31A16	>=0
(vii) Other fund-based income	0.00	C31A17	>=0
Total Fund-Based Income	1171.22	C31A10	C31A112 + C31A12 + C31A13 + C31A14 + C31A15 + C31A16 + C31A17
2. Fee-Based Income			
Total Fee Based Income	26.72	C31A20	>=0
3. Miscellaneous income	0.00	C31A30	>=0
Total Income from Financial Business	1197.94	C31A00	C31A10 + C31A20 + C31A30
B. Income from Non-Financial Business	0.36	C31B00	>=0
C. Total Income	1198.30	C31C00	C31A00 + C31B00
ITEMS OF EXPENSES			
A. Expenses Relating to Financial Business			
1. Depreciation on Fixed Assets including Leased Assets	18.13	C32A01	>=0
2. Interest Expense and other financing cost			
(a) Interest on Inter-corporate Deposits	0.00	C32A02a	>=0
(b) Interest on credits from Banks	497.28	C32A02b	>=0
(c) Interest on credits from Financial Institutions	0.00	C32A02c	>=0
(d) Other Financing Charges	15.96	C32A02d	>=0
Total	513.24	C32A02	>=0, C32A02a+C32A02b+C32A02c+C32A02d
3. Brokerage (including reimbursement of expenses to brokers)	84.44	C32A03	>=0
4. Discount/charges on Bills rediscounted	0.00	C32A04	>=0
5. Loss on Sale of Investments	0.00	C32A05	>=0
6. Bad Debts written off	0.00	C32A06	>=0



7. Provisions against Non-Performing Assets	0.00	C32A07	>=0
8. Reversal of Income on NPAs	0.00	C32A08	>=0
9. Diminution in value of investments	0.00	C32A09	>=0
10. Operating Expenses	221.57	C32A10	C32A11+ C32A12
Of which; (i) Employee Costs	126.49	C32A11	>=0
(ii) Other Administrative Costs	95.08	C32A12	>=0
B. Expenses Relating to Non-Financial Business	0.00	C32B00	>=0
C. Total expenses	837.38	C32C00	C32A01+C32A02+C32A03 +C32A04+C32A05+C32A06+C32A07+C32A08+C32A09+C32A10+C32B00
1. Profit before Tax	360.92	C301	C31C00 - C32C00
2. Provision for taxation	119.17	C302	>=<0
3. Net Profit/Loss	241.75	C303	C301 -C302
Financial Income/Total Income (%)	99.97	C304	(C31A00/C31C00)*100



PART-7: DETAILS OF EXPOSURE TO SENSITIVE SECTORS

(Please note that information given in this Part is also included under Part 2 under appropriate heads)

A. Loan & Advances to Capital Market							
A.1 Fund Based		Particulars		Outstanding Balance	Field Code	Validations	(Rs. lakhs)
1.	Individuals			0.00	OS811	>=0	
2.	Share and Stock Brokers			0.00	OS827	>=0	
3.	Market Makers			0.00	OS1001	>=0	
4.	IPO Financing			0.00	OS810	>=0	
5.	Any Other entities			0.00	OS1002	>=0	
6.	All Other Borrowers against Security of Shares			0.00	OS826	>=0	
Total Advances to Capital Market				0.00	OS1000	>=0,	OS811+OS827+OS1001+OS810+OS1002+OS826
A.2. Non Fund Based		Particulars		Outstanding Balance	Field Code	Validations	
1.	Financial Guarantees Issued to Stock Exchanges on Behalf of Stock Brokers			0.00	OS831	>=0	
2.	Other Financial Guarantees			0.00	OS832	>=0	
Total Financial Guarantees				0.00	OS1010	>=0, OS831+OS832	
B. Investments in Capital Market (Listed Instruments only)		Particulars		Outstanding Balance	Field Code	Validations	
1.	Convertible Bonds and Debentures			0.00	OS802	>=0	
2.	Equities			0.00	OS801	>=0	
3.	Equity Oriented Mutual Funds			0.00	OS804	>=0	
4.	Others			0.00	OS1011	>=0	
Total Investments in Capital Market				0.00	OS1020	>=0,	OS802+OS801+OS804+OS1011
Total Capital Market Exposure (A+B)				0.00	OS1030	>=0, OS1000+OS1010+OS1020	
C. Investments in Securities		Category of Securities		Outstanding Balance	Field Code	Validations	
1.	PSU Bonds			0.00	OS1041	>=0	
2.	Other Corporate Bonds and Debentures			0.00	OS1042	>=0	
3.	Mutual Funds (Including Units)			0.00	OS1043	>=0	
4.	Others			0.00	OS1044	>=0	
Sub-Total (Quoted)				0.00	OS1040	>=0,	OS1041+OS1042+OS1043+OS1044
C.2. Unquoted							
1.	PSU Bonds			0.00	OS1051	>=0	



2. Other Corporate Bonds and Debentures	0.00	OS1052	>=0
3. Mutual Funds (including Units)	0.00	OS1053	>=0
4. Others	0.00	OS1054	>=0
Sub-Total (Unquoted)		OS1050	>=0,
	0.00		OS1051+OS1052+OS1053+OS1054
C.3. Equities			
1. Quoted (Listed)	0.00	OS1061	>=0
2. Unquoted (Un-listed)	0.00	OS1062	>=0
Sub-Total	0.00	OS1060	>=0, OS1061+OS1062
Grand Total(C.1+C.2+C.3)	0.00	OS1070	>=0, OS1040+OS1050+OS1060
D. Advances to Real Estate Sector			
Particulars			
1. Commercial Property	0.00	OS1081	>=0
2. Land & Building Developers	0.00	OS1082	>=0
3. Mortgages other than individual Housing Loans	0.00	OS1083	>=0
4. Others	0.00	OS1084	>=0
Total Exposure to Real Estate Sector		OS1080	>=0,
	0.00		OS1081+OS1082+OS1083+OS1084
E. Advances to Commodities			
Particulars			
1. Gems & Jewellery	0.00	OS1091	>=0
2. Metals	0.00	OS1092	>=0
3. Others	0.00	OS1093	>=0
Total Exposure to Commodities	0.00	OS1090	OS1091+OS1092+OS1093



PART-8. FOREIGN SOURCES OF FUNDS

Particulars	Amount (laks)	(Rs. In	Field Code	Validations
(i) Equity shares	0.00		901	>=0, 906+907
Of which;			907	>=0
i) Foreign Institutional Investors	0.00			
ii) Foreign Direct Investment	0.00		906	>=0
(ii) Borrowings	0.00		902	>=0
(iii) Bonds/Debentures	0.00		903	>=0
(iv) Others	53.30		904	>=0
Total Foreign Sources	53.30		905	901 + 902 + 903 + 904; <= 150



ANNEX-6: Sectoral Deployment of Credit & NPA

Activity	Field Code	No. of A/Cs	Validations	Field Code	Loans & Advances (Outstanding) (Rs.in Lakhs)	Validations	Field Code
(i) Agriculture	NA210A	0	>=0	A210A	0.00	>=0	B210A
(ii) Small Scale Industries	NA210B	4	>=0	A210B	115.11	>=0	B210B
(iii) Medium & Large Industries	NA210C	11	>=0	A210C	3053.10	>=0	B210C
(iv) Construction	NA210D	8	>=0	A210D	1501.05	>=0	B210D
(v) Advances to Capital Market	NA210E	0	>=0	A210E	0.00	>=0	B210E
(vi) Wholesale Trade	NA210F	2	>=0	A210F	11.46	>=0	B210F
(vii) Retail Trade	NA210G	1	>=0	A210G	251.01	>=0	B210G
(viii) Commercial Real Estate	NA210H	0	>=0	A210H	0.00	>=0	B210H
(ix) Infrastructure	NA210I	0	>=0	A210I	0.00	>=0	B210I
(x) Retail Housing	NA210J	0	>=0	A210J	0.00	>=0	B210J
(xi) Transport Operators	NA210K	0	>=0	A210K	0.00	>=0	B210K
(xii) Consumer Durables	NA210L	5	>=0	A210L	15.20	>=0	B210L
(xiii) Microfinance	NA210M	0	>=0	A210M	0.00	>=0	B210M
(xiv) Others	NA210N	41	>=0	A210N	3596.22	>=0	B210N
Total	NA210	72.00	>=0, NA210A+NA210B+N A210C+NA210D+NA 210E+NA210F+NA21 0G+NA210H+NA210 I+NA210J+NA210K+ NA210L+NA210M+N	A210	8543.15	>=0, A210A+A210B+A210 C+A210D+A210E+A 210F+A210G+A210H +A210I+A210J+A210 K+A210L+A210M+A 210N	B210

