

CIN No. L65910DL1990PLC040705

**Regd. Office:** 4, MMTC/STC Market, Geetanjali, New Delhi-110017

Phone No: 011-42181244, Email ID: genesis599@gmail.com, Website: [www.genesisfinance.net](http://www.genesisfinance.net)

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**18<sup>th</sup> January, 2024**

To,  
**The Listing and Compliance Head,  
Metropolitan Stock Exchange of India Limited**  
Building A, Unit 205A, 2<sup>nd</sup> Floor,  
Piramal Agastya Corporate Park,  
Kamini Junction, L.B.S Road, Kurla West,  
Mumbai – 400070

**SYMBOL: GENESISFIN  
ISIN: INE421K01019**

**Subject: Submission of shareholding pattern for the quarter and nine months ended 31<sup>st</sup> December, 2023 pursuant to Regulation 31(1)(b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulations, 2015 (“SEBI Listing Regulations”)**

Dear Sir/Ma’am,

With reference to the captioned subject and pursuant to Regulation 31(1)(b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”), please find enclosed herewith the shareholding pattern of Genesis Finance Company Limited (“the Company”) for the quarter and nine months ended 31<sup>st</sup> December, 2023.

You are requested to kindly take the same in your records.

For **Genesis Finance Company Limited**

**Richa Bhardwaj  
(Company Secretary and Compliance Officer)  
Membership No.: A32549  
4 MMTC/STC Market, Geetanjali, New Delhi- 110017**

*Encl.: Shareholding Pattern*

<b>General information about company</b>	
Scrip code	
NSE Symbol	
MSEI Symbol	GENESISFIN
ISIN	INE421K01019
Name of the company	GENESIS FINANCE COMPANY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	100	0
As on the end of previous 1st quarter	100	0
As on the end of previous 2nd quarter	100	0
As on the end of previous 3rd quarter	100	0
As on the end of previous 4th quarter	100	0

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	8	30716824			30716824	66.2	30716824		30716824	66.2
(B)	Public	201	15680296			15680296	33.8	15680296		15680296	33.8
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	209	46397120			46397120	100	46397120		46397120	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				66.2					30716824			
(B)	Public				33.8					10749816	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					41466640	0	0	0

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	18991672			18991672	40.93	18991672		18991672	40.93
(d)	Any Other (specify)	2	11725152			11725152	25.27	11725152		11725152	25.27
Sub-Total (A)(1)		8	30716824			30716824	66.2	30716824		30716824	66.2
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		8	30716824			30716824	66.2	30716824		30716824	66.2
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	178	84150			84150	0.18	84150		84150	0.18
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	18	15580116			15580116	33.58	15580116		15580116	33.58
(i)	Non Resident Indians (NRIs)	2	16000			16000	0.03	16000		16000	0.03
(m)	Any Other (specify)	3	30			30	0	30		30	0
Sub-Total (B)(4)		201	15680296			15680296	33.8	15680296		15680296	33.8
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		201	15680296			15680296	33.8	15680296		15680296	33.8
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		209	46397120			46397120	100	46397120		46397120	100
Total (A+B+C )		209	46397120			46397120	100	46397120		46397120	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				40.93					18991672			
(d)				25.27					11725152			
Sub-Total (A) (1)				66.2					30716824			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				66.2					30716824			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(2)	Institutions (Foreign)											
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(g)				0.18					80	0	0	0
(h)				33.58					10749736	0	0	0
(i)				0.03					0	0	0	0
(m)				0					0	0	0	0
Sub-Total (B) (4)				33.8					10749816	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				33.8					10749816	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					41466640			
Total (A+B+C)				100					41466640			



<b>Individuals/Hindu undivided Family</b>						
Searial No.	1	2	3	4	5	6
Name of the Shareholders (I)	BHAGWATI DEVI	SANGEETA GARG	PRATEET GARG	NARESH GARG	SAHIL GARG	KAPIL BERERA
PAN (II)	AAKPD1397B	AAAPG5628E	BAGPG3745M	AAAPG1524B	BFFPG6371B	AAEPB2513P
No. of fully paid up equity shares held (IV)	4506880	4480560	3573048	3548480	2830704	52000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4506880	4480560	3573048	3548480	2830704	52000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.71	9.66	7.7	7.65	6.1	0.11
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg:X	4506880	4480560	3573048	3548480	2830704	52000
Class eg:y						
Total	4506880	4480560	3573048	3548480	2830704	52000
Total as a % of Total Voting rights	9.71	9.66	7.7	7.65	6.1	0.11
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	9.71	9.66	7.7	7.65	6.1	0.11
<b>Number of Locked in shares (XII)</b>						
No. (a)						
As a % of total Shares held (b)						
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	4506880	4480560	3573048	3548480	2830704	52000
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter	Promoter

<b>Individuals/Hindu undivided Family</b>	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	18991672
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	18991672
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.93
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg:X	18991672
Class eg:y	
Total	18991672
Total as a % of Total Voting rights	40.93
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.93
<b>Number of Locked in shares (XII)</b>	
No. (a)	
As a % of total Shares held (b)	
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>	
No. (a)	

As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	18991672
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

## Any Other (specify)

Any Other (specify)			
Searial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	GENESIS DREAM MERCHANTS PVT LTD	SOPAN REAL ESTATES PRIVATE LIMITED	
PAN (II)	AAACG3907B	AAACS1083N	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	10925152	800000	11725152
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	10925152	800000	11725152
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	23.55	1.72	25.27
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	10925152	800000	11725152
Class eg:y			
Total	10925152	800000	11725152
Total as a % of Total Voting rights	23.55	1.72	25.27
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	23.55	1.72	25.27
Number of Locked in shares (XII)			
No. (a)			

As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	10925152	800000	11725152
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter Group	Promoter Group	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	SHASHI BALA GARG	NIRMALA DEVI .	SHARAN TAYAL	VINOD KUMAR	VINOD TAYAL	MANJU KAPOOR
PAN (II)	AZWPG9564E	AADPD0275B	AAEPT1987B	AXRPK9343E	AAAPT0250B	AHDPK0302P
No. of fully paid up equity shares held (IV)	4247680	2792000	2366552	1528000	1404784	1160000
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4247680	2792000	2366552	1528000	1404784	1160000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.16	6.02	5.1	3.29	3.03	2.5
<b>Number of Voting Rights held in each class of securities (IX)</b>						
Class eg: X	4247680	2792000	2366552	1528000	1404784	1160000
Class eg:y						
Total	4247680	2792000	2366552	1528000	1404784	1160000
Total as a % of Total Voting rights	9.16	6.02	5.1	3.29	3.03	2.5
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	9.16	6.02	5.1	3.29	3.03	2.5
<b>Number of Locked in shares (XII)</b>						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in	0	2792000	2366552	1523200	1404784	1160000

dematerialized form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						
Sub-categorization of shares						
Sub-category (i)	0	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0	0



<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	13499016
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	13499016
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	29.09
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg: X	13499016
Class eg:y	
Total	13499016
Total as a % of Total Voting rights	29.09
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	29.09
<b>Number of Locked in shares (XII)</b>	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in	9246536

dematerialized form (XIV)	
Reason for not providing PAN	
Reason for not providing PAN	
Sub-categorization of shares	
Shareholding (No. of shares) under	
Sub-category (i)	0
Sub-category (ii)	0
Sub-category (iii)	0

Any Other (specify)				
Searial No.	1	2	3	
Category	HUF	HUF	HUF	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	10	10	10	30
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	10	10	10	30
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0	0
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	10	10	10	30
Class eg:y				
Total	10	10	10	30
Total as a % of Total Voting rights	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0	0	0
Number of Locked in shares (XII)				

No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	0	0	0	0
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

